



WOOSTER CORTHELL
— WEALTH MANAGEMENT, INC. —



860.430.9766



800.649.5419



300 WINDING BROOK DRIVE
GLASTONBURY, CT 06033

Brochure Supplement

(Part 2B of Form ADV)

October 24, 2022

This brochure supplement provides information about the advisors named below. This supplements Wooster CortHELL Wealth Management, Inc.'s firm brochure. You should have received a copy of that brochure. Please contact Lynn CortHELL, Chief Compliance Officer, if you did not receive Wooster CortHELL's brochure or if you have any questions about the contents of this supplement.

Additional information about all advisors is available on the SEC's website at www.adviserinfo.sec.gov.



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Education and Business Standards

Wooster CortHELL requires that anyone in its employ who determines or gives investment advice to clients must possess a related college degree or equivalent financial-related education or experience as indicated by such designations as CFP®, CFA®, RP®, CLU® or ChFC®. Ongoing professional education and development are also required.

Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

Certified Financial Planner (CFP®): Certified Financial Planners are licensed by the CFP® Board to use the CFP® mark. CFP® certification requirements:

- Bachelor’s degree from an accredited college or university
- Completion of the financial planning education requirements set by the CFP® Board
- Successful completion of the six-hour CFP® Certification Exam
- Three-years of qualifying full-time work experience or two years of apprenticeship experience
- Successfully pass the Candidate Fitness Standards and background check
- Adhere to the CFP Board’s *Code of Ethics and Professional Responsibility* and *Financial Planning Practice Standards*
- Earn 30 continuing education units every two years, including two in ethics, to maintain the CFP® designation



Chartered Financial Analyst® (CFA®): CFA® Charterholders are certified by the CFA Institute to use the CFA® mark. CFA® certification requirements:

- A bachelor's degree or equivalent education / work experience
 - Four years of professional work experience in investment decision making
 - A passing score for the CFA® Levels I, II and III exams
 - Adhere to the CFA® Institute's *Code of Ethics & Standards of Professional Conduct*
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Matthew W. CortHELL, CFA

- Born in 1990

Educational Background:

- CFA® designation conferred in 2017
- Graduated from Southern Connecticut State University with a Bachelor of Science Degree in Business Administration with a concentration in Finance in 2013

Business Experience

- President & CEO, Wooster CortHELL Wealth Management, Inc. (10/22 – Present)
- President, Wooster CortHELL Wealth Management, Inc. (01/22 – 10/22)
- Vice President, Wooster CortHELL Wealth Management, Inc. (10/19 – 12/21)
- Senior Wealth Manager, Wooster CortHELL Wealth Management, Inc. (08/17 – 10/19)
- Wealth Advisor, Wooster CortHELL Wealth Management, Inc. (08/16 – 08/17)
- Research Analyst, Wooster CortHELL Wealth Management, Inc. (formerly Apex Investment Services, Inc.) (12/13 – 08/16)
- Assistant Portfolio Specialist, Apex Investment Services, Inc. (08/12 – 12/13)

Disciplinary Information: None

Other Business Activities: None

Additional Compensation:

Mr. CortHELL's total annual compensation is based, in part, on the amount of assets under management that he introduces to Wooster CortHELL. Accordingly, Mr. CortHELL has a conflict of interest for recommending Wooster CortHELL to clients or prospective clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Supervision:

Matthew W. CortHELL, President & CEO reports directly to and is supervised by Lynn CortHELL, Chair of the Board.

Lynn CortHELL's contact information:

Phone: 860.430.9766



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Prasad S. Oak, CFP®

- Born in 1986

Educational Background:

- CFP® designation conferred in 2019
- Graduated from University of Connecticut with a Bachelor of Science Degree in Business Administration with a concentration in Finance

Business Experience

- Wealth Advisor, Wooster CortHELL Wealth Management, Inc. (05/21 – present)
- Financial Advisor, Ameriprise Financial (02/20 – 01/21)
- Exclusive Financial Specialist, Allstate Financial Services (08/17 – 02/20)
- Financial Consultant, Equitable Life Insurance (AXA Advisors, LLC), (05/08 – 08/17)

Disciplinary Information: None

Other Business Activities: None

Additional Compensation:

Mr. Oak's total annual compensation is based, in part, on the amount of assets under management that he introduces to Wooster CortHELL. Accordingly, Mr. Oak has a conflict of interest for recommending Wooster CortHELL to clients or prospective clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Supervision:

Prasad S. Oak, Wealth Advisor, reports directly to and is supervised by Matthew W. CortHELL, President & CEO.

Matthew CortHELL's contact information:

Phone: 860.430.9766



Frederick J. Baran, CFP®

- Born in 1964

Educational Background:

- CFP® designation conferred in 2018
- Graduated from University of Connecticut with a Bachelor of Arts Degree in Mathematics in 1986
- Graduated from Florida Atlantic University with a Masters of Business Administration in Finance in 1989
- Fairfield University Certificate in Financial Planning in 2012

Business Experience

- Wealth Advisor, Wooster CortHELL Wealth Management, Inc. (10/2021 – present)
- Wealth Advisor and Financial Planner, Mercer Advisors, Fall 2016 – 10/2021

Disciplinary Information: None

Other Business Activities: None

Additional Compensation:

Mr. Baran's total annual compensation is based, in part, on the amount of assets under management that he introduces to Wooster CortHELL. Accordingly, Mr. Baran has a conflict of interest for recommending Wooster CortHELL to clients or prospective clients for investment advisory services, as the recommendation could be made on the basis of compensation to be received, rather than on a client or prospective client's best interests.

Supervision:

Frederick J. Baran, CFP® Wealth Advisor, reports directly to and is supervised by Matthew W. CortHELL, President & CEO.

Matthew CortHELL's contact information:

Phone: 860.430.9766